ISO Sector Track 1 Exam

1. ANAB office audits are to which standard?
   1. ISO9001:2015
   2. I7021-1
   3. 17021-3
2. During a recert audit, the NCRs from which previous audit must be reviewed?
   1. Last recert audit
   2. Previous surveillance cycle
   3. Last surveillance audit
3. The requirements of the recertification checklist apply to only the onsite portion of the audit?
   1. True
   2. False
4. Which SQA document details the audit duration per site and the audit site sampling for a multi-site audit?
   1. SQA72
   2. SQA92
   3. CQAM009
5. Audit duration is determined by which of the following?
   1. Employee count
   2. Client’s design responsibility
   3. Client Complexity
   4. All of the above
6. Which Mandatory Document covers the requirements to transfer a client certificate from one CB to another?
   1. IAF MD5
   2. IAF MD1
   3. IAF MD2
7. Quality Alerts are only issue when top management does not attend the audit closing meeting?
   1. True
   2. False
8. Approval to close a Major NCRs off-site for an ISO9001 audit comes from:
   1. Lead Auditor
   2. SQA Management
   3. Never, all major NCRs require on site closure
9. Audit reports must show justification for which of the following?
   1. Less than 15% of audit time dedicated to design, when applicable
   2. Audit duration increases or decreases
   3. Use of ICT
   4. All of the above.
10. The names of client process shown on their CRW must match the process names in your:
    1. Audit Plan
    2. Universal Check Sheets
    3. Audit report
    4. All of the above
11. Which 3 IAF codes require additional check sheets to be completed?
    1. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
    2. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
    3. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
12. CQAM16s are used to determine:
    1. Audit duration
    2. Client complexity
    3. Which sites of a multi-site to audit
13. For ISO9001 audits, all shifts must be audited during?
    1. Recertification audits
    2. Initial audits
    3. Initial and recertification audits
    4. Surveillance audits
14. Notes to OSC should be used to communicate changes in client: (List 3)
    1. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
    2. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
    3. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
15. The SQA reference document to determine client complexity is:
    1. CQAM16
    2. SQA92
    3. SQA72
    4. IAF MD5